

E.SUN FHC Statement on Lobbying and Policy Engagement

I. Purposes

Climate change is a significant challenge to achieve sustainable development. E.SUN (referred to as "the Company") takes concrete actions to achieve the Paris Agreement goal, limiting global warming to well below 2°C and pursuing efforts to limit it to 1.5°C.

The Company has established internal policies/guidelines as guidance for the development of financial services, including "E.SUN Financial Holding Co., Ltd. Sustainable Finance Policy", "E.SUN FHC Sustainable Development Best Practice Principles", and "Climate Change Risk Management Policy". Externally, the Company uses our influence through participation in lobbying activities or the Bankers Association of the Republic of China (BAROC) to ensure that sustainable finance-related policy-making and lawmaking are Paris-Aligned.

II. Scope

The E.SUN Statement on Lobbying and Policy Engagement shall be applicable to all subsidiary business entities of E.SUN FHC, including the overall operating activities of the Company and our subsidiaries. A "Declaration of E.SUN FHC Statement on Lobbying and Policy Engagement" has been simultaneously formulated, which represents an expectation and requirements to all representatives of E.SUN who participate in lobbying activities and Bankers Association to fulfill the spirit and basic principles of the Statement.

III. Management System

- (I) The Company has established a governance framework for public policy engagement, with the President as the person in charge. Climate-related policy consultation or inquiries from the governments or the Bankers Association, they need to be discussed by the Company's Climate Change Task Force and approved by the President. E.SUN's appointed representatives to participate in lobbying activities or the Bankers Association shall undergo training to understand Paris Agreement goals and sign the "Declaration of E.SUN FHC Statement on Lobbying and Policy Engagement", promising that during the participation they will effectively review and monitor the public policy engagements and lobbying are aligned with the Paris Agreement.
- (II) E.SUN's appointed representatives to participate in lobbying activities or the Bankers Association shall undergo training to understand Paris Agreement goals and sign the "Declaration of E.SUN FHC Statement on Lobbying and Policy Engagement", promising that during the participation they will effectively review and monitor the public policy engagements

and lobbying are aligned with the Paris Agreement.

(III) When it is found that the policies established by the government or the Banking Association are inconsistent with the Paris Agreement goals, our representatives shall clarify the practical difficulties and continue to give suggestions to address misalignments between climate change policy positions and our own climate position.

IV. Disclosure Requirements

E.SUN will periodically disclose lobbying activities related to the Paris Agreement, as well as our climate policy positions and activities of Banking Association, including the relevant projects or the formulation of policies we participate in. Disclosure formats include but are not limited to reports, official websites, or other appropriate methods for public access.



President, E.SUN FHC

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