

E.SUN Bank/E.SUN FHC Whistleblowing Form

This form is intended for facilitating whistleblowing against criminal, fraudulent, or illegal behaviors committed by E.SUN employees. Please make sure you fill in the required fields so E.SUN may investigate the incident. Please be assured E.SUN adheres strictly to confidentiality.

***PLEASE BE KINDLY NOTED THAT ALL FIELDS ARE REQUIRED UNLESS INDICATED AS “OPTIONAL.”**

ITEMS		CONTENT	
Whistleblower	Name		
	ID Number		
	Contact address		
	Contact phone no.		
	Email		
Whistleblowee	Name		
	Other details for identification (e.g. job title or department)		
Names and contacts of other person involved with your case (OPTIONAL)			
Related information	Has the case been previously submitted to a local arbitration committee or judicial authority? <input type="checkbox"/> Yes <input type="checkbox"/> No		
	Did you contact E.SUN's Customer Service Division for assistance first? <input type="checkbox"/> Yes <input type="checkbox"/> No		

	<p>Did you submit a whistleblowing report to a banking authority or trade association? <input type="checkbox"/>Yes <input type="checkbox"/>No</p> <p>If you answered yes in #3, please provide the following details:</p> <p>(1) Name of authority and time of first report: <input type="checkbox"/>banking authority, __YYYY __MM ____DD <input type="checkbox"/>other, name: __, __YYYY __MM ____DD</p> <p>(2) Did the authority respond to your report? <input type="checkbox"/>banking authority: <input type="checkbox"/>Yes <input type="checkbox"/>No <input type="checkbox"/>other: <input type="checkbox"/>Yes <input type="checkbox"/>No</p> <p>(3) Response from the authority: (Please provide a copy of relevant written documents, if any.)</p>
<p>Case description</p>	<p>(1) Date, time, and location:</p> <p>(2) Description of illegal/inappropriate behaviors</p>

(1) by email to

E.SUN Bank whistleblowing email
address: esbwb.bank@email.esunbank.com.tw

E.SUN FHC whistleblowing email address:
esbwb.fhc@email.esunbank.com.tw

OR

(2) by postal mail to 13F., No. 115, Section 3, Minsheng East Road, Songshan District, Taipei City, Taiwan 10546 to the attention of "Legal Division Whistleblowing Mailbox".

(3) If you have filed a report by telephone, please make sure you also send the documents and evidence to E.SUN by mail or by email at your earliest convenience so we may proceed to process your case.

Please sign and date this form when you have completed it to confirm the information.

Date: _(yyyy)_(mm)_(dd)

Whistleblower: _____