## E.SUN Bank/E.SUN FHC Whistleblowing Form

This form is intended for facilitating whistleblowing against criminal, fraudulent, or illegal behaviors committed by E.SUN employees. Please make sure you fill in the required fields so E.SUN may investigate the incident. Please be assured E.SUN adheres strictly to confidentiality.

## \*PLEASE BE KINDLY NOTED THAT ALL FIELDS ARE REQUIRED UNLESS INDICATED AS "OPTIONAL."

ITEMS		CONTENT	
Whistleblower	Name		
	ID Number		
	Contact address		
	Contact phone		
	no.		
	Email		
Whistleblowee	Name		
	Other details for		
	identification		
	(e.g. job title or		
	department)		
Names and contacts of other person			
involved with your case			
(OPTIONAL)			
	Has the case been previously submitted to a local arbitration committee or		
Related	judicial authority?   Yes   No		
information	Did you contact E.SUN's Customer Service Division for assistance first?		
	□Yes □No		

	Did you submit a whistleblowing report to a banking authority or trade association?   Yes  No		
	If you answered yes in #3, please provide the following details:		
	(1) Name of authority and time of first report:		
	(2) Did the authority respond to your report?  □banking authority: □Yes □No □other: □Yes □No		
	(3) Response from the authority: (Please provide a copy of relevant written documents, if any.)		
	(1) Date, time, and location:		
Case description	(2) Description of illegal/inappropriate behaviors		

(3) Results of previous reports/complaints to E.SUN Bank/E.SUN FHC/other organizations

(4) Other facts that may facilitate investigation of the case

\*Please make sure you provide facts that can be investigated, such as receipts, contracts, letters, and audio/video recordings.

## Please understand the following regarding your report:

E.SUN will process a report as quickly as possible after it is accepted. IMPORTANT: IF A WHISTLEBLOWING REPORT IS SUBMITTED IN ANONYMITY OR UNDER A FALSE NAME, EXHIBITS EITHER ILL INTENT, FALSE ACCUSATION OR NO CONCRETE EVIDENCE OR FACTS, OR IS FILED AGAINST A REPORTED MISCONDUCT WHICH COINCIDES WITH OTHER REPORTED MISCONDUCT, WE WILL REQUIRE THAT YOU SUBMIT THE MISSING/CORRECT INFORMATION BEFORE PROCEEDING. WE WILL PUT YOUR CASE ON HOLD IF YOU FAIL TO SUBMIT THE MISSING/CORRECT INFORMATION AT OUR REQUEST.

## To file a report:

Please complete this form and submit it with the appropriate documents and evidence

(1) by email to

E.SUN Bank whistleblowing email address:esbwb.bank@email.esunbank.com.tw

E.SUN FHC whistleblowing email address: esbwb.fhc@email.esunbank.com.tw

OR

(2) by postal mail to 13F., No. 115, Section 3, Minsheng East Road, Songshan District, Taipei City, Taiwan 10546 to the attention of "Legal Division Whistleblowing Mailbox".

(3) If you have filed a report by telephone, please make sure you also send the documents and evidence to E.SUN by mail or by email at your earliest convenience so we may proceed to process your case.

Please sign and date this form when you have completed it to confirm the information.

Date: _(yyyy)_(mm)_(dd)	Whistleblower:
-------------------------	----------------