

## **E.SUN FHC Organizational Rules**

- Article 1: E.SUN FHC complies with Article 3 and Article 37 of its Articles of Incorporation and establishes a head office and branch offices, the organization of which is regulated by these Rules.
- Article 2: According to Article 22 of the Articles of Association, the Board of Directors may set up a functional special committee, and set up general committees according to business needs. And its organizational rules shall be separately stipulated.
- Article 3: The board of directors of the company has the following divisions is affiliated to the Board of Directors, and is responsible for the following:
1. Board Secretary Division  
Oversees general meeting administration for the board of directors, the Audit Committee and other functional committees under the board. The corporate governance officer is in charge of handling general affairs for the board of directors.
  2. Audit Division  
Check and evaluate whether the internal control system of the Company and subsidiaries are functioning effectively; provides timely recommendations for improvement to ensure that the internal control system continues to be implemented effectively, and takes charge of the execution of audit system, as well as the audit of business, finance, accounting and contracts. The Company shall appoint a Chief Auditor who oversees the auditing procedures of the company.
- Article 4: The Company shall appoint a President whom undertakes the resolution of the board of directors and organizes the activities of the entire company. The President shall be assisted by the Chief Strategy Officer, Chief Human Resources Officer, Chief Financial officer, Chief Risk Officer, Chief Technology Officer, Chief Information Officer, Chief Information Security Officer, Chief Accountant Officer, Chief Compliance Officer (in charge of legal and regulatory compliance of the head office), and Chief Marketing Officer, among others, who may be established or reassigned as needed based on business requirements.
- Article 5: The company has the following departments, is affiliated to the general manager, and is responsible for the following:
1. Compliance Division  
Responsible for overseeing compliance affairs; planning, managing, and executing the compliance system; managing consultation on internal guidelines and procedures; and supervising the subsidiaries in complying with the laws and regulations applicable to their businesses.
  2. Risk Management Division  
Responsible for overseeing risk management and anti-money laundering and countering the financing of terrorism and formulating policies and principles. Also responsible for executing risk management decisions, assisting and supervising the subsidiaries in developing and implementing risk management related tasks; and monitoring the overall risk tolerance and the risks currently undertaken by the Company.
  3. Information Management Division  
Responsible for overseeing information policy planning, information resource utilization

strategy formulation and management, information governance policies, and data collection and analysis and application.

4. Information Security Division

Responsible for overseeing information security governance system and protection mechanism plans and formulating information security policies; implementing, coordinating, and supervising the information security management operations of each subsidiary; and keeping abreast of the overall information security risks and implementation status.

5. Administration Division

Responsible for overseeing investment management and related paperwork, public relations, human resources, legal affairs, financial management, and shareholder services as well as business management in the subsidiaries.

Article 6: The President may, where needed for business development, establish a task force and a Chief Executive Officer who shall be appointed by the President.

Article 7: The Company may, where required for business and management purposes, establish functional committees approval by the President. Guidelines for the establishment of each committee shall be formulated.

Article 8: The Company shall establish a table detailing the responsibilities of each hierarchical level.

Article 9: These Rules shall become effective upon approval of the Board of Directors.

Article 10: Approved by the 2nd meeting of the 1st term Board of Directors on 2002.2.27  
Amended by the 8th meeting of the 1st term Board of Directors on 2003.5.15  
Amended by the 16th meeting of the 1st term Board of Directors on 2005.2.14  
Amended by the 16th meeting of the 2nd term Board of Directors on 2007.11.15  
Amended by the 2nd meeting of the 3rd term Board of Directors on 2008.7.17  
Amended by the 10th meeting of the 3rd term Board of Directors on 2009.11.12  
Amended by the 4th meeting of the 6th term Board of Directors on 2017.08.11  
Amended by the 9th meeting of the 6th term Board of Directors on 2018.06.08  
Amended by the 19th meeting of the 6th term Board of Directors on 2020.01.10  
Amended by the 7th meeting of the 7th term Board of Directors on 2021.01.22  
Amended by the 14th meeting of the 7th term Board of Directors on 2021.07.23  
Amended by the 18th meeting of the 7th term Board of Directors on 2022.03.11  
Amended by the 3th meeting of the 8th term Board of Directors on 2023.06.16  
Amended by the 11th meeting of the 8th term Board of Directors on 2024.05.10