

E.SUN FHC Organizational Rules

Article 1: E.SUN FHC complies with Article 3 and Article 37 of its Articles of Incorporation and establishes a head office and branch offices, the organization of which is regulated by these Rules.

Article 2: According to Article 22 of the Articles of Association, the Board of Directors may set up a functional special committee, and set up general committees according to business needs. And its organizational rules shall be separately stipulated.

Article 3: The board of directors of the company has the following divisions is affiliated to the Board of Directors, and is responsible for the following:

1. Board Secretary Division

Oversees general meeting administration for the board of directors, the Audit Committee and other functional committees under the board. The corporate governance officer is in charge of handling general affairs for the board of directors.

2. Audit Division

Check and evaluate whether the internal control system of the Company and subsidiaries are functioning effectively; provides timely recommendations for improvement to ensure that the internal control system continues to be implemented effectively, and takes charge of the execution of audit system, as well as the audit of business, finance, accounting and contracts. The Company shall appoint a Chief Auditor who oversees the auditing procedures of the company.

Article 4: The Company shall appoint a President whom undertakes the resolution of the board of directors and organizes the activities of the entire company. The President shall be assisted by an Executive Vice President, Vice President, and Assistant President.

Article 5: The company has the following departments, is affiliated to the general manager, and is responsible for the following:

1. Compliance Division

Responsible for overseeing compliance affairs; planning, managing, and executing the compliance system; managing consultation on internal guidelines and procedures; and supervising the subsidiaries in complying with the laws and regulations applicable to their businesses.

2. Risk Management Division

Responsible for overseeing risk management and anti-money laundering and countering the financing of terrorism and formulating policies and principles. Also responsible for assisting and supervising the subsidiaries in developing and implementing risk management related tasks; and monitoring the overall risk tolerance and the risks currently undertaken by the Company.

3. Information Management Division

Responsible for overseeing information policy planning, information resource utilization strategy formulation and management, information governance policies, and data collection and analysis and application.

4. Information Security Division

Responsible for overseeing information security governance system and protection mechanism plans and formulating information security policies; implementing,

coordinating, and supervising the information security management operations of each subsidiary; and keeping abreast of the overall information security risks and implementation status.

5. Administration Division

Responsible for overseeing investment management and related paperwork, public relations, human resources, legal affairs, financial management, and shareholder services as well as business management in the subsidiaries.

Article 6: The Company shall establish an Asset & Liability Management Committee, Total Quality Management (TQM) Committee, Strategy Development Committee, Human Resource Management Committee, Investment Management Committee, Risk Management Committee, Digital and Technology Strategy Committee, and Information Security Management Committee under the general manager. Responsibilities of these committees are as follows:

1. Asset & Liability Management Committee

Establish the asset allocation and risk limitation of the Company, follow-up, check and examine financial management and effectiveness of the subsidiaries as well as other matters related to major asset and liability management.

2. Total Quality Management Committee

Be in charge of formulation of the total quality management policies; guide and coordinate the quality management strategies and goals of each subsidiary; plan, organize, and coordinate the establishment and operation of the Company's quality management system; motivate and assist with the quality management activities of each subsidiary; and evaluate the quality management performance of each subsidiary and other major decisions concerning quality management.

3. Strategy Development Committee

In charge of the development of the Company's short, mid and long-term strategies, overall goals, organizational structure of management, innovation and development strategies of financial products, advertisement and marketing strategies, advocacy and promotion of strategy development plan and planning of other major strategy developments.

4. Human Resource Development Committee

Be in charge of selection, appointment, assignment, rotation system, training system, remuneration and bonus system, promotion and assessment of promotion, reward and punishment system, benefits, insurance, leave, travel system of employees and review of other regulations related to human resources as well as establishment of harmonious relationship among employees, handling of employees' complaints and satisfactory survey.

5. Investment Management Committee

Be in charge of the assessment and analysis of the Company's investment business, establishment of countermeasures, review of the Company's investment allocation, supervision and review of the subsidiaries' investment allocation, benefit analysis and other management matters related to important investment.

6. Risk Management Committee

Be in charge of the Company's risk management, risk assessment, risk supervision, review of risk management and implementation of each risk unit, exposure to risks, risk decision-making, follow-up of the compliance of risk management policies of each risk

unit and promotion of risk management culture.

7. Digital and Technology Strategy Committee

Promotion of digital development and the integration of financial technology and business, review of major information investment plan and project, follow-up and review of business application ideas or improvement of operation system and introduction of new digital finance and technology knowledge.

8. Information Security Management Committee

To promote the implementation of information security management, establish a coherent information security policy, develop information security management standards, integrate and supervise the implementation, operation, coordination and other matters of the information security management mechanisms of the Company and various subsidiaries.

Article 7: Except for the Asset & Liability Management Committee that is directly under the President, the committees shall respectively establish Chief Brand Officer, Chief Strategy Officer, Chief Human Resources Officer, Chief Financial Officer, Chief Risk Officer, Chief Digital Officer, Chief Information Officer, and Chief Information Security Officer, and they may establish and adjust other committees as needed.

Article 8: The President may, where needed for business development, establish a task force and a Chief Executive Officer who shall be appointed by the President.

Article 9: The Company may, where required for business and management purposes, establish functional committees other than those specified in Article 6. Guidelines for the establishment of each committee shall be formulated.

Article 10: The Company shall establish a table detailing the responsibilities of each hierarchical level.

Article 11: These Rules shall become effective upon approval of the Board of Directors.

Article 12: Approved by the 2nd meeting of the 1st term Board of Directors on 2002.2.27
Amended by the 8th meeting of the 1st term Board of Directors on 2003.5.15
Amended by the 16th meeting of the 1st term Board of Directors on 2005.2.14
Amended by the 16th meeting of the 2nd term Board of Directors on 2007.11.15
Amended by the 2nd meeting of the 3rd term Board of Directors on 2008.7.17
Amended by the 10th meeting of the 3rd term Board of Directors on 2009.11.12
Amended by the 4th meeting of the 6th term Board of Directors on 2017.08.11
Amended by the 9th meeting of the 6th term Board of Directors on 2018.06.08
Amended by the 19th meeting of the 6th term Board of Directors on 2020.01.10
Amended by the 7th meeting of the 7th term Board of Directors on 2021.01.22
Amended by the 14th meeting of the 7th term Board of Directors on 2021.07.23
Amended by the 18th meeting of the 7th term Board of Directors on 2022.03.11
Amended by the 3th meeting of the 8th term Board of Directors on 2023.06.16