

E. SUN FHC list of major resolutions from 2018 to January 31, 2023 :

6th meeting of 6th Board of Directors on January 19, 2018:

Reports on "E.SUN FHC 2017 Ethical Management Report", "2017 H2 Status Report on Compliance Practices", "2017 Q4 Audit Report", "2017 Performance and Results of Internal Subsidiary Audits by E.SUN FHC". Agreement on approval of "2017 E.SUN FHC Board of Directors Performance Evaluation", "amendment of E.SUN FHC Charter", "amendment of E.SUN FHC Remuneration Committee Charter", "nomination of chief audit officer", "promotion of vice presidents of the company", "salary adjustments for senior managers of the company", and "2017 year end bonus and long term incentive distribution to senior managers of the company".

7th meeting of 6th Board of Directors on March 16, 2018:

Reports on "2017 Q4 Risk Management Report", "2017 Update on AML Programs", "E.SUN FHC and Subsidiaries Performance Evaluation Scores for CPAs", "E.SUN FHC 2017 Tax Governance", "Impact Assessment Results for Adoption of IFRS 16 Leases by E.SUN FHC", "2018 E.SUN FHC Renewal of Liability and Security Insurance Policies for Directors and Supervisors", and "2017 Summary of E.SUN FHC Director Performance Evaluation". Agreement on approval of "2017 E.SUN FHC Consolidated Financial Statements and Business Report", "2017 E.SUN FHC Table of Allocation of Director and Employee Remuneration", "2017 E.SUN FHC Table of Earnings Distribution", "Capitalization of Earnings and Employee Remuneration", "amendment of the E.SUN FHC Accounting Policy", "statement on internal control", "amendment of E.SUN FHC risk management policies and guidelines", "amendment of E.SUN FHC Procedure for Derivative Transactions", "E.SUN FHC's CSR campaigns in detail", "date, location, and agenda of 2018 general shareholders meeting", and "improvements of the errors (Table B) listed in the general audit by the Financial Examination Bureau of the Financial Supervisory Commission in July 2017".

8th meeting of 6th Board of Directors on April 25, 2018:

Reports on "2018 Q1 Risk Management Report", "2018 Q1 Audit Report", "2018 E.SUN FHC and subsidiaries Consolidated Financial Statements". Agreement on approval of "amendment of E.SUN FHC Compliance Policy", "amendment of E.SUN FHC Shareholder Services Guidelines", "amendment of E.SUN FHC Stakeholder Transaction Policy", "amendment of E.SUN FHC Non-Arm's-Length Transfer Pricing Policy", "amendment of E.SUN FHC Tax Governance Policy", "establishment of E.SUN FHC and Subsidiaries AML/CFT policies", and "amendment of E.SUN FHC Rules and Procedures of Board Meetings".

9th meeting of 6th Board of Directors on June 8, 2018:

Report on "letters received by bank subsidiary and securities subsidiary from the competent

authorities with orders to improve major errors and potential punishments". Agreement on approval of "E.SUN FHC Declaration of Money Laundering and Counter Terrorism Risk Appetite", "2017 Earnings Distribution Proposal and new share issue by capitalization of earnings and employee remuneration", "amendment of E.SUN FHC Charter", "amendment of E.SUN FHC and Subsidiaries Guidelines for Acquisitions and Mergers", and "followup on improvements of the errors listed in the general audit of E.SUN FHC by the Financial Examination Bureau of the Financial Supervisory Commission in July 2017".

10th meeting of 6th Board of Directors on August 10, 2018:

Reports on "2018 Q2 E.SUN FHC Risk Management Report", "2018 H1 Status Report on Compliance Practices", "E.SUN FHC and Subsidiaries Information Security Overview", "2018 H1 E.SUN FHC and Subsidiaries Tax Governance", and "2018 Q2 E.SUN FHC Audit Report". Agreement on approval of "2018 H1 E.SUN FHC Consolidated Financial Statements", "amendment of expense allocation for shared information equipment and personnel", "amendment of E.SUN FHC and Subsidiaries AML/CFT policies", "amendment of E.SUN FHC Asset Acquisition or Disposal Procedures", "amendment of E.SUN FHC Regulatory Framework", "amendment of E.SUN FHC Procedure For Handling Whistleblowing Reports", "amendment of E.SUN FHC Corporate Governance Best Practice Principles", "amendment of E.SUN FHC Stakeholder Transaction Policy", "amendment of E.SUN FHC and Subsidiaries internal guidelines for complying with Article 45 of the Financial Holding Company Act", "E.SUN FHC's application to issue unsecured corporate bonds up to NT\$5.0 billion in installment or otherwise in five to ten years", "amendment of E.SUN FHC Guidelines for Managing Mainland China Exposures", "amendment of E.SUN FHC Guidelines for Managing Operational Risks", "amendment of E.SUN FHC Tax Governance Policy", "amendment of E.SUN FHC Non-Arm's-Length Transfer Pricing Policy", "amendment of E.SUN FHC and Subsidiaries Material Insider Information Management Procedures", "amendment of E.SUN FHC Guidelines for Handling Corporate Seals", "amendment of E.SUN FHC and Subsidiaries Emergency Response and Crisis Management Procedures", "amendment of E.SUN FHC Code of Business Integrity", "amendment of E.SUN FHC Corporate Social Responsibility Code of Conduct", "2017 employee remuneration distribution to senior managers of E.SUN FHC", "appointment of chief marketing officer", and "submission of followup on improvements of the errors listed in the general audit of E.SUN FHC by the Financial Examination Bureau of the Financial Supervisory Commission in July 2017".

12th meeting of 6th Board of Directors on November 9, 2018:

Reports on "2018 Q3 E.SUN FHC Risk Management Report", "2018 Q1-Q3 E.SUN FHC and subsidiaries Consolidated Financial Statements", "punishment imposed by the competent authority on Subsidiary E.SUN Securities and update on improvements by the subsidiary",

and "2018 Q3 E.SUN FHC Audit Report, 2018 Q3 Immediate Reports to Directors by Securities and Bank Subsidiaries". Agreement on approval of "amendment of E.SUN FHC Investment Policy", "amendment of E.SUN FHC Asset Acquisition or Disposal Procedures", "amendment of E.SUN FHC Outside Employment Guidelines for Responsible Persons", "amendment of E.SUN FHC and Subsidiaries Emergency Response and Crisis Management Procedures", "submission of followup on improvements of the errors listed in the general audit of E.SUN FHC by the Financial Examination Bureau of the Financial Supervisory Commission in July 2017", and "submission of 2019 E.SUN FHC Audit Plan".

13th meeting of 6th Board of Directors on January 18, 2019:

Reports on "E.SUN FHC 2018 Ethical Management Report", "2018 E.SUN FHC and Subsidiaries Updates on AML Practices and Results", "2019 E.SUN FHC and Subsidiaries AML/CFT Programs", "2018 Q4 E.SUN FHC Audit Report", and "2018 Performance and Results of Internal Subsidiary Audits by E.SUN FHC". Agreement on approval of "2018 E.SUN FHC director performance evaluation", "amendment of E.SUN FHC Asset Acquisition or Disposal Procedures", "2018 E.SUN FHC general auditor performance evaluation", "appointment of E.SUN FHC corporate governance officer", "replacement of director representatives of E.SUN FHC's securities subsidiary", "nomination of vice presidents of the company for promotion", "nomination of Dr. Sheng-Wei Chen to chief technology officer/vice president of the company", "amendment of E.SUN FHC Managerial Remuneration Policy", "proposal of remuneration for CTO/SEVP Dr. Sheng-Wei Chen", "salary adjustments for senior managers of the company", "2018 year-end bonus and long term incentive distribution to senior managers of the company", and "submission of follow-up on improvements of the errors listed in the general audit of E.SUN FHC by the Financial Examination Bureau of the Financial Supervisory Commission in July 2017".

14th meeting of 6th Board of Directors on March 15, 2019:

Reports on "2018 Q4 Risk Management Report", "E.SUN FHC and Subsidiaries Performance Evaluation Scores for CPAs", "E.SUN FHC and Subsidiaries (and Sub-subsidiaries) 2018 H2 Tax Governance Report", "award of "Outstanding" rating in Corporate Governance Evaluation", "2019 E.SUN FHC Renewal of Liability and Security Insurance Policies for Directors and Supervisors", and "2018 Summary of E.SUN FHC Director Performance Evaluation". Agreement on approval of "2018 E.SUN FHC and subsidiaries Consolidated Financial Statements and Business Report", "2018 E.SUN FHC Table of Allocation of Director and Employee Remuneration", "2018 E.SUN FHC Table of Earnings Distribution", "Capitalization of Earnings and Employee Remuneration", "renewal of contract with the accounting firm for 2019 and 2010 financial and tax audits and certification", "statement on internal control", "amendment of E.SUN FHC Procedure For Handling Whistleblowing Reports", "amendment of E.SUN FHC Remuneration Committee Charter", "E.SUN FHC

Director Remuneration Policy", "establishment of E.SUN FHC Procedures for Handling Director Requests", "amendment of the Articles of Incorporation", "amendment of E.SUN FHC Rules Governing the Conduct of Shareholders Meeting", "amendment of E.SUN FHC Director Election Rules", "amendment of E.SUN FHC and Subsidiaries Guidelines for Selection of Consultants", "E.SUN FHC's CSR campaigns in detail", "date, location, and agenda of 2019 general shareholders meeting", "new appointments of managers", "further improvements of the errors (Table B) listed in the general audit by the Financial Examination Bureau of the Financial Supervisory Commission in July 2017", and "improvements of the errors (Table B) listed in the general audit by the Financial Examination Bureau of the Financial Supervisory Commission in August and September 2018".

15th meeting of 6th Board of Directors on April 24, 2019:

Reports on the Company's "2018 H2 Status Report on Compliance Practices", "2019 Q1 Risk Management Report", "2019 Q1 Audit Report", and "2019 Q1 E.SUN FHC and Subsidiaries Consolidated Financial Statements". Agreement on approval of "amendment of E.SUN FHC Shareholder Services Guidelines", "amendment of E.SUN FHC Articles of Incorporation", "amendment of E.SUN FHC Corporate Social Responsibility Best Practice Principles", "E.SUN FHC 2019 Shareholders' Meeting Proposal Handling", "amendment of E.SUN Financial Holding Co., Ltd. and Subsidiaries Personal Information Management Policy and of E.SUN Financial Holding Co., Ltd. and Subsidiaries Personal Information File Security Plan and Post-relationship Practice", and "E.SUN FHC re-reviewed audit plan for 2019".

16th meeting of 6th Board of Directors on June 14, 2019:

Agreement on approval of "2018 Earnings Distribution Proposal and new share issue by capitalization of earnings and employee remuneration", "amendment of Procedure For Handling Whistleblowing Reports", "amendment of E.SUN FHC Remuneration Committee Charter", and "E.SUN FHC Corporate Governance Best-Practice Principles".

17th meeting of 6th Board of Directors on August 09, 2019:

Reports on "2019 Q2 E.SUN FHC Risk Management Report", "2019 H1 Status Report on Compliance Practices", "2019 H1 E.SUN FHC and Subsidiaries (and Sub-subsidiaries) Tax Governance Report", and "2019 Q2 E.SUN FHC Audit Report". Agreement on approval of "2019 H1 E.SUN FHC and Subsidiaries Consolidated Financial Statements", "amendment of E.SUN FHC and Subsidiaries internal guidelines for complying with Article 45 of the Financial Holding Company Act", "amendment of E.SUN FHC Procedure for Derivative Transactions", "amendment of E.SUN FHC and Subsidiaries AML/CFT policies", "amendment of E.SUN FHC Compliance Policy", "amendment of E.SUN FHC Regulatory Framework", "proposal for 2018 employee compensation distribution to managers of the Company and the presidents of subsidiaries other than the bank's subsidiaries", "submission of improvements of the errors (Table B) listed in the special audit by the Financial

Examination Bureau of the Financial Supervisory Commission in August and September 2018".

18th meeting of 6th Board of Directors on November 13, 2019:

Reports on "2019 Q3 E.SUN FHC Risk Management Report", "2019 Q1-Q3 E.SUN FHC and Subsidiaries Consolidated Financial Statements", "misappropriating of clients' money by financial consultant of subsidiary E.SUN Bank and followup progress report", "punishment imposed by the competent authority on the Purchasing of Residential Fire Insurance by Mortgage Loan Lender of Subsidiary E.SUN Bank and update on improvements of misappropriating of clients' money by Puqian Branch financial consultant", "2019 Q3 E.SUN FHC Audit Report", "2019 Q3 Immediate Reports to Directors by Securities and Bank Subsidiaries", and "2019 Performance and Results of Internal Subsidiary Audits by E.SUN FHC". Agreement on approval of "amendment of E.SUN FHC Procedure For Handling Whistleblowing Reports", "E.SUN FHC 2020 CSR campaigns in detail", "amendment of E.SUN FHC internal control system", "establishment of the Rules for Director Election", "amendment of E.SUN FHC Rules for Director Remuneration", "amendment of E.SUN FHC Ethical Corporate Management Best Practice Principles", "amendment of E.SUN FHC and Subsidiaries Guidelines for Selection of Consultants", E.SUN FHC ANNUAL REPORT 2019 94 "submission of improvements of the errors (Table B) listed in the special audit by the Financial Examination Bureau of the Financial Supervisory Commission in August and September 2018", and "submission of 2020 E.SUN FHC Audit Plan".

19th meeting of 6th Board of Directors on January 10, 2020:

Reports on "E.SUN FHC 2019 Ethical Management Report", "E.SUN FHC 2019 Q4 Audit Report", and "immediate reports from the bank's subsidiaries to the directors in 2019 Q4". Agreement on approval of "2020 E.SUN FHC and Subsidiaries AML/CFT Programs", "2019 E.SUN FHC director performance evaluation", "amendment of E.SUN FHC Charter", "amendment of E.SUN FHC Rules and Procedures of Board Meetings", "amendment of E.SUN FHC Procedures for Handling Director Requests", "2019 E.SUN FHC general auditor performance evaluation", "nomination of new general auditor for E.SUN FHC", "replacement of director representatives of E.SUN FHC's securities subsidiary", "salary adjustments for managers of the Company and the presidents of subsidiaries other than the bank's subsidiaries", "proposal for 2019 year end bonus and long term incentive distribution to managers of the Company and the presidents of subsidiaries other than the bank's subsidiaries", "establishment of E.SUN FHC Rules for Senior Manager Selection", "amendment of the Rules for Director Election", "amendment of E.SUN FHC Corporate Governance and Nomination Committee Charter", and "submission of improvements of the errors (Table B) listed in the special audit by the Financial Examination Bureau of the Financial Supervisory Commission in August and September 2018".

20th meeting of 6th Board of Directors on March 12, 2020:

Reports on “2019 Q4 Risk Management Report”, "2019 E.SUN FHC and Subsidiaries Updates on AML Practices and Results", "E.SUN FHC and Subsidiaries Performance Evaluation Scores for CPAs", "E.SUN FHC and Subsidiaries (and Sub-subsidiaries) 2019 Tax Governance Report", “description of E.SUN FHC financial report preparation”, "E.SUN FHC 2019 Information Security Implementation Overview Report", “punishment imposed by the Financial Supervisory Commission and improvements of subsidiary E.SUN Bank’s handling of deficiencies in the system program testing and inspection of Ministry of Finance’s electronic tax reporting service website, and of E.SUN securities subsidiary’s deficiencies in relation to violations of securities regulations listed by the Financial Supervisory Commission during the special auditing of brokerage operations”, "2020 E.SUN FHC Renewal of Liability and Security Insurance Policies for Directors and Supervisors", "2019 Summary of E.SUN FHC Director Performance Evaluation", and “possible measures taken by bank subsidiary in response to a letter received from the competent authority, and punishment to be imposed by the National Bank of Cambodia on bank subsidiary as forwarded by the Union Commercial Bank”; agreement on approval of "2019 E.SUN FHC and Subsidiaries Consolidated Financial Statements and Business Report", "2019 E.SUN FHC Table of Allocation of Director and Employee Remuneration", "2019 E.SUN FHC Table of Earnings Distribution", "Capitalization of Earnings and Employee Remuneration", “agreement on change/replacement of CPA”, "statement on internal control", "amendment of E.SUN FHC Guidelines for Managing Operational Risks", "amendment of E.SUN FHC risk management policies and guidelines", "amendment of E.SUN FHC Procedure for Derivative Transactions", “establishment of E.Sun Financial Holding Co., Ltd. and Subsidiaries Emergency Response and Crisis Management Procedures”, “amendment of E.Sun FHC Donation Handling Guidelines”, “proposal for the Company’s 2019 director remuneration distribution plan and review of director remuneration reasonableness and director performance evaluation standards”, “E.SUN FHC plans to re-elect the 7th directors at the annual (2020) shareholders’ meeting, with a 3-year term of office beginning from the election date at the shareholders’ meeting”, “nomination of E.SUN FHC 7th directors”, “nomination of E.SUN FHC 7th independent directors”, “ removal of the non-competition restriction for E.SUN FHC's 7th independent directors”, “amendment of E.SUN FHC Rules Governing the Conduct of Shareholders Meeting”, "amendment of E.SUN FHC Rules and Procedures of Board Meetings", “amendment of the E.SUN Audit Committee Charter”, "amendment of E.SUN FHC Remuneration Committee Charter", "amendment of E.SUN FHC Corporate Social Responsibility Code of Conduct", "amendment of E.SUN FHC Stakeholder Transaction Policy", "date, location, and agenda of 2020 general shareholders meeting”, “proposal of E.SUN FHC’s nomination of new chief auditor to Board of Directors for full discussion”, and “improvements of the errors (Table B) listed in the special audit by the Financial Examination

Bureau of the Financial Supervisory Commission in August and September 2018”.

21th meeting of 6th Board of Directors on April 24, 2020:

Reports on “2019 H2 Status Report on Compliance Practices”, “E.SUN FHC 2020 Q1 Risk Management Report”, “E.SUN FHC and Subsidiaries 2020 Q1 Consolidated Financial Statements”, and “E.SUN FHC 2020 Q1 Audit Report”; agreement on approval of “amendment of E.SUN FHC Tax Governance Policy”, “amendment of E.SUN FHC AML Policy”, “amendment of E.SUN FHC Risk Management Policy and Guiding Principles”, “amendment of E.SUN FHC Shareholder Services Guidelines”, “establishment of E.SUN FHC Director Manual”, “amendment of the Rules for Director Election”, “amendment of E.SUN FHC Stakeholder Transaction Policy”, “authorization of board chairman to change date or location” of the 2020 shareholders’ meeting”, and “submission of improvements of the errors (Table B) listed in the special audit by the Financial Examination Bureau of the Financial Supervisory Commission in August and September 2018”.

1st meeting of 7th Board of Directors on June 12, 2020:

Agreement on approval of “agreement on E.SUN FHC signing of industry-academic cooperation and academic feedback mechanism contract with NTU, NTHU, and NCCU”.

2nd meeting of 7th Board of Directors on June 12, 2020:

Agreement on “E.SUN FHC Composition of Board Functional Committee” and “2019 Earnings Distribution Proposal and new share issue by capitalization of earnings and employee remuneration”.

3rd meeting of 7th Board of Directors on June 12, 2020:

Agreement on “appointment of director and independent director (supervisor) representatives for subsidiaries E.SUN Bank, E.SUN Securities, and E.SUN Venture Capital” and “appointment of CFO Magi Chen as the President of E.SUN FHC”.

4th meeting of 7th Board of Directors on August 14, 2020:

Reports on “2020 Q2 Risk Management Report”, “2020 H1 Status Report on Compliance Practices”, “2019 Q1 Audit Report”, “2020 H1 Evaluation Report of Information Security Overall Implementation”, “2020 H1 E.SUN FHC and Subsidiaries (and Sub-subsidiaries) Tax Governance Report”, and “2020 Q2 E.SUN FHC Audit Report”, “E.SUN Bank Report on Key Agendas”; agreement on approval of “2020 Q2 E.SUN FHC and Subsidiaries Consolidated Financial Statements”, “amendment of E.SUN FHC Declaration of Money Laundering and Counter Terrorism Risk Appetite”, “amendment of ‘E.SUN FHC and Subsidiaries Procedure for Handling Compensations In Accordance with Article 64 of the Financial Holding Company Act and Paragraph 2, Article 133 of the Banking Act”, “amendment of E.SUN FHC Stakeholder Transaction Policy”, “nomination of Jyh-Shing Roger Jang as the CTO and Vice President of E.SUN FHC”, “remuneration for newly elected

CTO and Vice President Jyh-Shing Roger Jang”, “payment of NTU academic remuneration and signing of relevant contracts”, “nomination of new E.SUN FHC CFO”, “assumption of Group Compliance Officer by bank subsidiary’s Group Compliance Officer”, “nomination of new spokesperson and acting spokesperson for E.SUN FHC”, “proposal for 2019 employee compensation distribution to managers of the Company and the presidents of subsidiaries other than the bank’s subsidiaries”, “remuneration of the new president of E.SUN FHC”, “establishment of remuneration for E.SUN FHC chairman”, and “submission of improvements of the errors (Table B) listed in the special audit by the Financial Examination Bureau of the Financial Supervisory Commission in August and September 2018”.

5th meeting of 7th Board of Directors on November 13, 2020:

Reports on “2020 Q3 Risk Management Report”, “2020 Q1-3 E.SUN FHC and Subsidiaries Consolidated Financial Statement”, “submission of the report on the misappropriation of customers' funds committed by a former financial consultant at the Puqian Branch of E.SUN Bank, and status of completion of subsequent system adjustments and process improvement”, “submission of report on the subsequent handling of the fund dispute between a customer and former financial consultant at the Fengshan Branch of E.SUN Bank”, submission of report on the implementation status of the 2020 Senior Manager Successor Plan”, “E.SUN FHC 2020 Q3 audit working papers and the immediate reports from the bank’s and securities’ subsidiaries to the directors in 2020 Q3”, “2020 Performance and Results of Internal Subsidiary Audits by E.SUN FHC”, and “E.SUN Bank Report on Key Agendas”; agreement on approval of “E.SUN FHC 2021CSR campaigns in detail”, “amendment of E.SUN FHC and Subsidiaries Guidelines for Acquisitions and Mergers”, “E.SUN FHC's application to issue unsecured corporate bonds”, “amendment of the E.SUN FHC Accounting Policy”, “amendment of E.SUN FHC Corporate Governance Best Practice Principles”, “amendment of the E.SUN Audit Committee Charter”, “establishment of Regulations Governing Internal Audit of E.SUN FHC”, and “submission of E.SUN FHC 2021 Audit Plan”.

6th meeting of 7th Board of Directors on December 18, 2020:

Reports on “submission of report on punishment imposed by the competent authority and update on improvements of misappropriating of clients’ money by Fengshan Branch financial consultant of Subsidiary E.SUN Bank and irregular transaction with a customer”, “submission of report on punishment imposed by the competent authority on a former financial consultant of Subsidiary E.SUN Bank Fengshan Branch”, “E.SUN Bank Report on Key Agendas”, and “submission of E.SUN Bank’s plan to hire PwC to inspect and provide guidance on system vulnerabilities and improvement plans with respect to punishment imposed on a banking consultant”; agreement on approval of “submission of improvements of the errors (Table B) listed in the special audit by the Financial Examination Bureau of the Financial Supervisory Commission in August and September 2018”.

7th meeting of 7th Board of Directors on January 22, 2021:

Reports on “Mid-Term Report of PwC Review of E.SUN Bank”, “E.SUN FHC 2020 Ethical Management Report”, “E.SUN FHC Board Performance Evaluation Report by Corporate Governance Association”, “2020 Performance Evaluation of E.SUN FHC Group Compliance Officer”, and “E.SUN FHC 2020 Q4 Audit Report”; agreement on approval of “E.SUN FHC Guidelines for Liquidity Risk Management”, “amendment of E.SUN FHC Charter”, “E.SUN FHC and Subsidiaries Rules for CPA Performance Assessment”, “amendment of E.Sun Financial Holding Co., Ltd. and Subsidiaries Emergency Response and Crisis Management Procedures”, “name change of CSR Committee to Sustainable Development Committee and amendment of committee charter”, “E.SUN FHC 2020 Board Performance Evaluation”, “Results of E.SUN FHC 2020 Functional Committee performance evaluation”, “formulation of E.SUN FHC Board of Directors Risk Management Committee Charter”, “composition of E.SUN FHC Board of Directors Risk Management Committee”, “amendment of E.SUN FHC Rules for Director Remuneration”, “new appointments of department heads”, “amendment of health check subsidies for managers of E.SUN FHC”, “proposal for salary adjustments for managers of the Company and the presidents of subsidiaries other than the bank’s subsidiaries”, “proposal for 2020 year end bonus and long term incentive distribution to managers of the Company and the presidents of subsidiaries other than the bank’s subsidiaries”, “2020 performance evaluation of E.SUN FHC chief auditor”, and “submission of improvements of the deficiencies (Table B) listed in the general audit by the Financial Examination Bureau of the Financial Supervisory Commission in August and September 2020”.

8th meeting of 7th Board of Directors on March 3, 2021:

Reports on “implementation status of preparation of corporate governance 3.0 financial report for E.SUN FHC”, “E.SUN FHC and Subsidiaries Performance Evaluation Scores for CPAs”, “status of subsequent handling of misappropriating of clients’ money by a former financial consultant of subsidiary E.SUN Bank Fengshan branch”, “2021 E.SUN FHC Renewal of Liability and Security Insurance Policies for Directors, Supervisors, and Managers”, “letters received by E.SUN Bank from FSC with orders to improve significant deficiencies ”, and “final report of E.SUN Bank’s plan to hire PwC to inspect and provide guidance on system vulnerabilities and improvement plans of sanction on misappropriation of clients’ money”; agreement on approval of “amendment of E.SUN FHC Shareholders' Meeting Policy”, “matters in relation to renewal of contract with accounting firm”, and “new appointments of department heads”.

9th meeting of 7th Board of Directors on March 11, 2021:

Reports on “2020 Q4 Risk Management Report”, “2020 Summary of E.SUN FHC Director Performance Evaluation”, and “2020 E.SUN FHC and Subsidiaries (and Sub-subsidiaries) Tax Governance Report”; agreement on approval of “2020 E.SUN FHC and Subsidiaries Consolidated Financial Statements and Business Report”, “2020 E.SUN FHC Allocation Table of Director and Employee Remuneration”, “2020 E.SUN FHC Allocation Table of Earnings”, “Capitalization of Earnings and Employee Remuneration”, “Statement on the Internal Control System”, “date, location, and agenda of 2021 general shareholders meeting, and authorization of board chairman to change date or location”, “election of director and supervisor representatives for subsidiary E.SUN Securities”, “proposal for the Company’s 2020 director remuneration distribution plan and review of director remuneration reasonableness and director performance evaluation standards”, “amendment of Rules for LongTerm Incentives and Rewards”, “amendment of E.SUN FHC Rules for Manager Performance Assessment”, “amendment of E.SUN FHC Rules for Senior Manager Selection”, and “improvements of the deficiencies (Table B) listed in the general audit by the Financial Examination Bureau of the Financial Supervisory Commission in August and September 2020”.

10th meeting of 7th Board of Directors on April 23, 2021:

Reports on “2020 H2 Status Report on Compliance Practices”, "award of "Outstanding" rating in Corporate Governance Evaluation", and “E.SUN FHC 2021 Q1 Audit Report”; agreement on approval of “amendment of E.SUN FHC Shareholder Services Guidelines”,and “submission of improvements of the deficiencies (Table B) listed in the general audit by the Financial Examination Bureau of the Financial Supervisory Commission in August and September 2020”

11th meeting of 7th Board of Directors on May 12, 2021:

Reports on “E.SUN FHC 2021 Q1 Risk Management Report” , “E.SUN FHC and Subsidiaries 2021 Q1 Consolidated Financial Statements” , and “Submission of follow-up report on improvements based on comments (Table A) with respect to the general inspection of subsidiary E.SUN Bank undertaken by FSC and concerns about the violation of central bank credit control regulations as preliminarily commented in the special audit of the "Mortgage and Construction Loan Business"”; agreement on approval of “amendment of Duties and responsibilities for independent directors”.

12th meeting of 7th Board of Directors on June 11, 2021:

Agreement on approval of "the proposal on the change of date and venue for the Company's

2021 Shareholders' Meeting and on the request to authorize the Chairman to make changes as needed for COVID-19."

13th meeting of 7th Board of Directors on July 12, 2021:

Agreement on approval of "Changes to the venue of the 2021 Shareholders' Meeting"

14th meeting of 7th Board of Directors on July 23, 2021:

Reports on "Submission of the letter from the regulatory authority to the securities subsidiary, listing possible sanctions against deficiencies that were identified in the general business inspection on the company and in the special audit of the company's futures brokerage business" ; agreement on approval of "2020 Earnings Distribution Proposal and new share issue by capitalization of earnings and employee remuneration", "amendment of E.SUN FHC Charter" ,and "The nomination of the Company's Vice President"

15th meeting of 7th Board of Directors on August 20, 2021:

Reports on "2021 Q2 Risk Management Report" ", "2021 H1 Status Report on Compliance Practices", "2021 Q2 E.SUN FHC and Subsidiaries (and Sub-subsidiaries) Tax Governance Report", and "2021 Q2 E.SUN FHC Audit Report"; agreement on approval of "2021 Q2 E.SUN FHC and Subsidiaries Consolidated Financial Statements", "amendment of E.SUN FHC AML/CFT policies", "amendment of 'E.SUN FHC and Subsidiaries Procedure for Handling Compensations In Accordance with Article 64 of the Financial Holding Company Act and Paragraph 2, Article 133 of the Banking Act", and change name to 'E.SUN FHC and Subsidiaries Procedure for Handling Compensations' ", "Revision to the Company's information security policy", and "proposal for 2020 employee remuneration distribution to managers of the Company and the presidents of subsidiaries other than the bank's subsidiaries"

16th meeting of 7th Board of Directors on November 12, 2021:

Reports on "The operational status of the overseas subsidiaries of E.SUN Bank ", "2021 Q3 Risk Management Report", "Sanctions imposed by the FSC and subsequent improvements to the deficiencies that were identified in the general business inspection of subsidiary E.SUN Securities undertaken by the FSC", "2021 Q1-3 E.SUN FHC and Subsidiaries Consolidated Financial Statement", "The issues of concern of major stakeholders of the Company's 2021 corporate social responsibilities", "submission of report on the implementation status of the 2021 Senior Manager Successor Plan", "strategies for planning the facilities of subsidiary E.SUN Bank", "E.SUN FHC 2021 Q3 audit working papers and matters immediately

reported to directors in accordance with the principle of materiality”, “2021 Performance and Results of Internal Subsidiary Audits by E.SUN FHC”, and “E.SUN Bank Report on Key Agendas”; agreement on approval of “E.SUN FHC 2022 CSR campaigns in detail”, “Establishment of the Company's sustainable finance policy”, “amendment of E.SUN Financial Holding Co., Ltd. and Subsidiaries Personal Information Management Policy”, “amendment of E.SUN FHC Declaration of Money Laundering and Terrorist Financing Risk Appetite”, “The company's 2020 Institutional ML/TF risk assessment report”, “amendment of E.SUN FHC Procedure For Handling Whistleblowing Reports”, “amendment of the Rules for Director Election”, “amendment of E.SUN FHC Corporate Governance and Nomination Committee Charter”, “Nomination of a new CISO for the Company”, “submission of E.SUN FHC 2022 Audit Plan”, “amendment of Regulations Governing Internal Audit of E.SUN FHC”, “nomination of new chief auditor for E.SUN FHC”, and "Remunerations for newly elected senior managers of the Company".

17th meeting of 7th Board of Directors on January 14, 2022:

Reports on "The operational status of the overseas subsidiaries of E.SUN Bank in 2021 Q4" , “E.SUN FHC 2021 Ethical Management Report”, “The COVID-19 progress report of the Company”, “The implementation status of the Company on sustainable development in 2021”, “E.SUN FHC 2021 Q4 Audit Report”, “2021 Performance Evaluation of E.SUN FHC Group Compliance Officer”, and “The Company's Senior Manager Successor Training Plan”; agreement on approval of “Appointment of chief sustainability officer”, “Nomination of a new deputy spokesperson for the Company”, “Change of the representative of a director of E.SUN Venture Capital”, “Establishment of Regulations on Information Sharing Among Financial Institutions for E.SUN FHC and Subsidiaries”, “Establishment of Climate Change Risk Management Policy for E.SUN FHC and Subsidiaries”, “amendment of E.SUN Financial Holding Co., Ltd. and Subsidiaries Emergency Response and Crisis Management Procedures”, “E.SUN FHC 2021 Board Performance Evaluation”, “Results of E.SUN FHC 2021 Functional Committee performance evaluation”, "Amendment to several articles in the Company's Regulations for the Appointment of Subsidiary Directors and Supervisor Performance Evaluation and revision to the Performance Evaluation Table", "amendment of E.SUN FHC Corporate Social Responsibility Code of Conduct", and change name to Sustainable Development Best Practice Principles”, “Submission of the status of improvements based on comments (Table B) with respect to the special audit undertaken in August and September of 2021 by the Financial Examination Bureau of FSC on the Company's handling of the E.SUN FHC group business strategies and risk management”, “2021 performance evaluation of E.SUN FHC chief auditor”, "nomination of vice presidents of the company for promotion", “Partial adjustment to unit managers”, “salary adjustments for managers of the Company and the presidents of subsidiaries other than the bank’s

subsidiaries”, “proposal for 2021 year end bonus and long term incentive distribution to managers of the Company and the presidents of subsidiaries other than the bank’s subsidiaries”, and “Proposal on the establishment of annual performance indicators for the President of the Company”.

18th meeting of 7th Board of Directors on March 11, 2022:

Reports on “The 2022 knowledge sharing meeting minutes”, “2021 Q4 Risk Management Report”, “2021 H2 Status Report on Compliance Practices”, "2021 Summary of E.SUN FHC Director Performance Evaluation", "2022 E.SUN FHC Renewal of Liability and Security Insurance Policies for Directors and Supervisors", "E.SUN FHC and Subsidiaries Performance Evaluation Scores for CPAs", and "E.SUN FHC and Subsidiaries (and Sub-subsidiaries) 2021 Tax Governance Report"; agreement on approval of "2021 E.SUN FHC and Subsidiaries Consolidated Financial Statements and Business Report", "2021 E.SUN FHC Table of Allocation of Director and Employee Remuneration", "2021 E.SUN FHC Table of Earnings Distribution", "Capitalization of Earnings and Employee Remuneration", “agreement on change/replacement of CPA”, "statement on internal control", “amendment of E.SUN FHC internal control system”, " The implementation status and effectiveness of the Company's anti-money laundering practices in 2021 and 2022 E.SUN FHC and Subsidiaries AML/CFT Programs”, “amendment of E.SUN Financial Holding Co., Ltd. and Subsidiaries Personal Information File Security Plan and Post-relationship Practice”, “Revision to the Company's data security management policy”, "amendment of E.SUN FHC Charter", "amendment of E.SUN FHC Asset Acquisition or Disposal Procedures", "amendment of the Company's Property Acquisition or Disposal Procedures", “Appointment of chief epidemic officer”, “Amendment to the Company's Manager Performance Evaluation Policy”, “amendment of Rules for Long-Term Incentives and Rewards”, “amendment of E.SUN FHC Articles of Incorporation”, "date, location, methods and related matters of 2022 general shareholders meeting”, “Follow-up report on the status of improvements based on comments (Table B) with respect to the special audit undertaken in August and September of 2021 by the Financial Examination Bureau of FSC on the Company's handling of the E.SUN FHC group business strategies and risk management” and “proposal for the Company’s 2021 director remuneration distribution plan and review of director remuneration reasonableness and director performance evaluation standards”.

19th meeting of 7th Board of Directors on April 22, 2022:

Reports on “E.SUN FHC M&A growth strategy and project report”, “the Greenhouse gas inventory and verification schedule planning of ESUN FHC”, “The operational status of the overseas subsidiaries of E.SUN Bank in 2022 Q1”, “E.SUN FHC 2022 Q1 Audit Report”;

agreement on approval of, “E.SUN FHC sustainable development blueprint and implementation status”, “amendment of E.SUN FHC Procedure for Derivative Transactions”, “amendment of E.SUN FHC Shareholders' Meeting Policy” and “nomination of new financial officer for E.SUN FHC”.

20th meeting of 7th Board of Directors on May 13, 2022:

Reports on “E.SUN FHC and Subsidiaries 202 Q1 Consolidated Financial Statements”, “2022 Q1 Risk Management Report”, “subsidiary E.SUN Bank received a letter from the Financial Regulatory Commission regarding the bank's handling of insurance agency business, involving violations of relevant provisions of the Insurance Law, possible disciplinary measures, and the follow-up progress of the case”; agreement on approval of “amendment of E.SUN FHC Market Risk Management Guidelines”, “amendment of E.SUN Financial Holding Company Organizational Regulations of the Risk Management Committee”, “amendment of E.SUN FHC Shareholder Services Guidelines” and “amendment of E.SUN FHC Corporate Governance Best Practice Principles”.

21th meeting of 7th Board of Directors on June 17, 2022:

Agreement on approval of “2021 Earnings Distribution Proposal and new share issue by capitalization of earnings and employee remuneration”.

22th meeting of 7th Board of Directors on August 19, 2022:

Reports on “The operational status of the overseas subsidiaries of E.SUN Bank in 2022 Q2”, “2022 Q2 Risk Management Report”, “2022 H1 Status Report on Compliance Practices”, “E.SUN FHC and Subsidiaries (and Sub-subsidiaries) 2022 Q2 Tax Governance Report”, “E.SUN FHC 2022 Q2 Audit Report”; agreement on approval of “2022 Q2 E.SUN FHC and Subsidiaries Consolidated Financial Statements”, “amendment of E.SUN Financial Holding Co., Ltd. and Subsidiaries Personal Information File Security Plan and Post-relationship Practice”, “amendment of the rule of E.SUN FHC and its subsidiaries comply with Article 45 of the Financial Holding Company Law on Internal Operations”, “The company's 2021 Institutional ML/TF risk assessment report”, “amendment of E.SUN FHC and Subsidiaries Guidelines for Selection of Consultants”, “payment of NTU academic remuneration and signing of relevant contracts”, “amendment of E.SUN FHC employee retirement”, “amendment of E.SUN FHC managers' salary remuneration measures” and “proposal for 2021 employee remuneration distribution to managers of the Company and the presidents of subsidiaries other than the bank’s subsidiaries”.

23th meeting of 7th Board of Directors on November 11, 2022:

Reports on “The implementation status of the Company on sustainable development in 2022”, “The operational status of the overseas subsidiaries of E.SUN Bank in 2022 Q3”, “2022 Q3 Risk Management Report”, “2022 Q1-3 E.SUN FHC and Subsidiaries Consolidated Financial Statement”, “E.SUN FHC 2022 Q3 audit working papers and matters immediately reported to directors in accordance with the principle of materiality”, “2022 Performance and Results of Internal Subsidiary Audits by E.SUN FHC”, “submission of report on the implementation status of the 2022 Senior Manager Successor Plan of E.SUN FHC and Subsidiaries”, “E.SUN Bank Report on Key Agendas”; agreement on approval of “amendment of E.SUN FHC and Subsidiaries Material Insider Information Management Procedures”, “amendment of E.SUN FHC Risk Management Policy and Guiding Principles”, “amendment of E.SUN FHC and subsidiaries climate change risk management policy, and adjust the policy name to E.SUN FHC and subsidiaries climate and environmental risk management policy”, “amendment of E.SUN FHC Corporate Governance Best Practice Principles”, “amendment of E.SUN FHC Rules and Procedures of Board Meetings” and “E.SUN FHC 2023 Audit Plan”.

24th meeting of 7th Board of Directors on January 06, 2023:

Reports on “E.SUN FHC 2023 strategic report”, “E.SUN FHC 2022 Major issues”, “E.SUN FHC 2022 Ethical Management Report”, “2022 Performance Evaluation of E.SUN FHC Group Compliance Officer”; agreement on approval of “E.SUN FHC 2022 Board Performance Evaluation”, “Results of E.SUN FHC 2022 Functional Committee performance evaluation”, “amendment of the E.SUN Audit Committee Charter”, “amendment of E.Sun Financial Holding Co., Ltd. and Subsidiaries Emergency Response and Crisis Management Procedures”, “Establishment of E.SUN FHC Equity Management Measures”, “E.SUN FHC specific promotion plan for sustainable development in 2023”, “Partial adjustment to unit managers”, “proposal for salary adjustments for managers of the Company and the presidents of subsidiaries other than the bank’s subsidiaries”, “proposal for 2022 year end bonus and long term incentive distribution to managers of the Company and the presidents of subsidiaries other than the bank’s subsidiaries” and “2022 performance evaluation of E.SUN FHC chief auditor”.